

General Assembly

Committee Bill No. 5630

January Session, 2009

04680HB05630PH_

Referred to Committee on Public Health

Introduced by: (PH)

AN ACT CONCERNING THE ESTABLISHMENT OF LICENSURE FOR AN ADVANCED DENTAL HYGIENE PRACTITIONER.

Be it enacted by the Senate and House of Representatives in General Assembly convened:

- 1 Section 1. Section 20-126*l* of the general statutes is repealed and the
- 2 following is substituted in lieu thereof (Effective October 1, 2009):
- 3 (a) As used in this section:
- 4 (1) "General supervision of a licensed dentist" means supervision
- 5 that authorizes dental hygiene procedures to be performed with the
- 6 knowledge of said licensed dentist, whether or not the dentist is on the
- 7 premises when such procedures are being performed;
- 8 (2) "Public health facility" means an institution, as defined in section
- 9 19a-490, a community health center, a group home, a school, a
- 10 preschool operated by a local or regional board of education or a head
- 11 start program; and
- 12 (3) The "practice of dental hygiene" means the performance of
- 13 educational, preventive and therapeutic services including: Complete
- 14 prophylaxis; the removal of calcerous deposits, accretions and stains

from the supragingival and subgingival surfaces of the teeth by scaling, root planing and polishing; the application of pit and fissure sealants and topical solutions to exposed portions of the teeth; dental hygiene examinations and the charting of oral conditions; dental hygiene assessment, dental hygiene diagnosis, treatment planning and evaluation; dental triage; the administration of local anesthesia in accordance with the provisions of subsection (d) of this section; and collaboration in the implementation of the oral health care regimen.

- (b) No person shall engage in the practice of dental hygiene unless such person (1) has a dental hygiene license issued by the Department of Public Health and (A) is practicing under the general supervision of a licensed dentist, or (B) has been practicing as a licensed dental hygienist for at least two years, is practicing in a public health facility and complies with the requirements of subsection (e) of this section, (2) has an advanced dental hygiene practice license issued by the department and is practicing under a collaborative management agreement with a licensed dentist, or [(2)] (3) has a dental license.
- (c) A dental hygienist licensed under sections 20-126h to 20-126w, inclusive, shall be known as a "dental hygienist" and no other person shall assume such title or use the abbreviation "R.D.H." or any other words, letters or figures which indicate that the person using such words, letters or figures is a licensed dental hygienist. Any person who employs or permits any other person except a licensed dental hygienist to practice dental hygiene shall be subject to the penalties provided in section 20-126t.
- (d) A licensed dental hygienist may administer local anesthesia, limited to infiltration and mandibular blocks, under the indirect supervision of a licensed dentist, provided the dental hygienist can demonstrate successful completion of a course of instruction containing basic and current concepts of local anesthesia and pain control in a program accredited by the Commission on Dental Accreditation, or its successor organization, that includes: (1) Twenty

hours of didactic training, including, but not limited to, the psychology of pain management; a review of anatomy, physiology, pharmacology of anesthetic agents, emergency precautions and management, and client management; instruction on the safe and effective administration of anesthetic agents; and (2) eight hours of clinical training which includes the direct observation of the performance of procedures. For purposes of this subsection, "indirect supervision" means a licensed dentist authorizes and prescribes the use of local anesthesia for a patient and remains in the dental office or other location where the services are being performed by the dental hygienist.

- (e) A licensed dental hygienist shall not perform the following dental services: (1) Diagnosis for dental procedures or dental treatment that is outside the scope of practice of a licensed dental hygienist; (2) the cutting or removal of any hard or soft tissue or suturing; (3) the prescribing of drugs or medication which require the written or oral order of a licensed dentist or physician; (4) the administration of parenteral, inhalation or general anesthetic agents in connection with any dental operative procedure; (5) the taking of any impression of the teeth or jaws or the relationship of the teeth or jaws for the purpose of fabricating any appliance or prosthesis; (6) the placing, finishing and adjustment of temporary or final restorations, capping materials and cement bases.
- (f) Each dental hygienist practicing in a public health facility shall (1) refer for treatment any patient with needs outside the dental hygienist's scope of practice, and (2) coordinate such referral for treatment to dentists licensed pursuant to chapter 379.
- (g) All licensed dental hygienists applying for license renewal shall be required to participate in continuing education programs. The commissioner shall adopt regulations in accordance with the provisions of chapter 54 to: (1) Define basic requirements for continuing education programs, (2) delineate qualifying programs, (3) establish a system of control and reporting, and (4) provide for waiver

- of the continuing education requirement by the commissioner for good cause.
- Sec. 2. Section 20-112a of the general statutes is repealed and the following is substituted in lieu thereof (*Effective October 1, 2009*):
- 83 (a) As used in this section:
- (1) "Direct supervision" means that a licensed dentist has authorized that certain procedures be performed on a patient by a dental assistant with such dentist remaining on-site in the dental office while such procedures are performed and that, prior to the patient's departure from the dental office such dentist reviews and approves the treatment performed by the dental assistant;
- 90 (2) "Dental assistant" means an entry level dental assistant, certified 91 dental assistant, noncertified dental assistant and an expanded 92 function dental assistant;
- (3) "Certified dental assistant" means a person who passes the 93 94 certified dental assistant or certified orthodontic assistant examination 95 of the Dental Assisting National Board and maintains a current Dental 96 Assisting National Board Certified Dental Assistant or Certified 97 Orthodontic Assistant credential. Documentation of current certification shall be maintained on the premises by the employing 98 99 dentist and made available to the Department of Public Health upon 100 request;
- 101 (4) "Entry level dental assistant" means a person who has completed 102 on-the-job training in dental assisting under the direct supervision, 103 control and responsibility of an employing, licensed dentist and who 104 successfully completes the infection control examination of the Dental 105 Assisting National Board not later than six months after the date of commencing employment, provided any person employed as an entry 106 level dental assistant on or before October 1, 2009, shall successfully 107 108 complete said examination not later than October 1, 2010. An affidavit

- 109 that supports the successful completion of said examination by any
- such entry level dental assistant shall be maintained on the premises
- by the employing dentist and made available to the Department of
- 112 Public Health upon request;
- 113 (5) "Expanded function dental assistant" means a certified dental assistant or dental hygienist licensed under chapter 379a who has 114 115 successfully completed an expanded function dental assisting program 116 at an institution that offers an education program accredited by the 117 Commission on Dental Accreditation of the American Dental 118 Association that includes: (A) Not less than seventy hours of clinical 119 and laboratory instruction and not less than forty-three hours of 120 clinical instruction; (B) a comprehensive clinical examination; and (C) a standardized comprehensive written and clinical proficiency 121 122 examination in expanded functions according to Dental Assisting 123 National Board standards; provided prior to working as an expanded function dental assistant, the employing dentist shall verify that the 124 125 expanded function dental assistant has successfully completed the 126 required education and training and passed the required examinations. Documentation that the expanded function dental 127 assistant has met such requirements shall be maintained on the 128 129 premises by the employing dentist and made available to the 130 Department of Public Health upon request; and
- 131 (6) "Noncertified dental assistant" means a person who has successfully completed a dental assistant education program 132 133 accredited by the Commission on Dental Accreditation of the American Dental Association, or a person who has no less than three 134 135 thousand hours of experience as an entry level dental assistant, and 136 who has passed the infection control examination and the radiation 137 health and safety examination of the Dental Assisting National Board. 138 An affidavit that supports the successful completion of said 139 examinations by any such noncertified level dental assistant shall be 140 maintained on the premises by the employing dentist and made 141 available to the Department of Public Health upon request.

(b) A licensed dentist may delegate to dental assistants such dental procedures as the dentist may deem advisable, including the taking of dental x-rays if the dental assistant can demonstrate successful completion of the dental radiography portion of an examination prescribed by the Dental Assisting National Board, but all such procedures shall be performed under the dentist's supervision and control and the dentist shall assume responsibility for all such procedures; provided such assistants may not engage in: (1) Diagnosis for dental procedures or dental treatment; (2) the cutting or removal of any hard or soft tissue; [or suturing;] (3) the prescribing of drugs or medications that require the written or oral order of a licensed dentist or physician; (4) the administration of local, parenteral, inhalation or general anesthetic agents in connection with any dental operative procedure; (5) the taking of any impression of the teeth or jaws or the relationship of the teeth or jaws for the purpose of fabricating any appliance or prosthesis; (6) the placing, finishing and adjustment of [temporary or] final restorations, capping materials and cement bases; [or] (7) the practice of dental hygiene as defined in section 20-126*l*, as amended by this act; or (8) coronal polishing, unless the dental assistant is certified as an expanded function dental assistant and the procedure is not represented or billed as prophylaxis.

(c) An expanded function dental assistant shall: (1) Maintain in good standing certified dental assisting status with the Dental Assisting National Board or a state dental hygiene licensure as prescribed in chapter 379a; (2) conspicuously display such certification or licensure in the place of employment where such expanded function dental assistant services shall be performed; (3) maintain professional liability insurance or other indemnity against liability for professional malpractice while employed in such capacity in an amount that is not less than five hundred thousand dollars for one person, per occurrence, with an aggregate of not less than one million five hundred thousand dollars; and (4) limit his or her practice to a public health facility as defined in section 20-126l, as amended by this act, or a dental health professional shortage area as designated by the federal

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Health Resources and Services Administration.

- 177 (d) The Commissioner of Public Health, in consultation with the
- 178 State Dental Commission, shall adopt regulations, in accordance with
- 179 chapter 54, to implement the provisions of this section. Such
- 180 <u>regulations shall minimally: (1) Identify the types of procedures that</u>
- may be performed by a certified dental assistant, entry level dental
- assistant, expanded function dental assistant and a noncertified dental
- assistant; (2) delineate the levels of supervision required for such
- procedures; and (3) prescribe that a dental assistant may work under
- the supervision of a licensed dental hygienist in a public health facility
- as defined in section 20-126*l*, as amended by this act.
- 187 Sec. 3. Section 20-13j of the general statutes is repealed and the
- following is substituted in lieu thereof (*Effective January 1, 2010*):
- 189 (a) For the purposes of this section:
- 190 (1) "Department" means the Department of Public Health; and
- 191 (2) "Health care provider" means: (A) A physician licensed under
- this chapter; (B) a dentist licensed under chapter 379; (C) a chiropractor
- 193 licensed under chapter 372; (D) an optometrist licensed under chapter
- 194 380; (E) a podiatrist licensed under chapter 375; (F) a natureopath
- 195 licensed under chapter 373; (G) a dental hygienist licensed under
- chapter 379a; (H) an advanced dental hygiene practitioner licensed in
- 197 <u>accordance with sections 4 and 5 of this act; (I)</u> an advanced practice
- 198 registered nurse licensed under chapter 378; or [(I)] (I) a physical
- therapist licensed under chapter 376.
- 200 (b) The department, after consultation with the Connecticut Medical
- Examining Board, the Connecticut State Medical Society, or any other
- 202 appropriate state board, shall, within available appropriations, collect
- 203 the following information to create an individual profile on each health
- 204 care provider for dissemination to the public:
- 205 (1) The name of the medical or dental school, chiropractic college,

| 206 | school | or | college | of | optom | etry, sch | nool or | college | of | chiropody | or |
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- 207 podiatry, school or college of natureopathy, school of dental hygiene,
- 208 school of physical therapy or other school or institution giving
- 209 instruction in the healing arts attended by the health care provider and
- 210 the date of graduation;
- 211 (2) The site, training, discipline and inclusive dates of any
- 212 completed postgraduate education or other professional education
- 213 required pursuant to the applicable licensure section of the general
- 214 statutes;
- 215 (3) The area of the health care provider's practice specialty;
- 216 (4) The address of the health care provider's primary practice
- 217 location or primary practice locations, if more than one;
- 218 (5) A list of languages, other than English, spoken at the health care
- 219 provider's primary practice locations;
- 220 (6) An indication of any disciplinary action taken against the health
- 221 care provider by the department, the appropriate state board or any
- 222 professional licensing or disciplinary body in another jurisdiction;
- 223 (7) Any current certifications issued to the health care provider by a
- 224 specialty board of the profession;
- 225 (8) The hospitals and nursing homes at which the health care
- 226 provider has been granted privileges;
- 227 (9) Any appointments of the health care provider to a Connecticut
- 228 medical school faculty and an indication as to whether the health care
- provider has current responsibility for graduate medical education;
- 230 (10) A listing of the health care provider's publications in peer
- 231 reviewed literature;
- 232 (11) A listing of the health care provider's professional services,
- 233 activities and awards;

- (12) Any hospital disciplinary actions against the health care provider that resulted, within the past ten years, in the termination or revocation of the health care provider's hospital privileges for a professional disciplinary cause or reason, or the resignation from, or nonrenewal of, professional staff membership or the restriction of privileges at a hospital taken in lieu of or in settlement of a pending disciplinary case related to professional competence in such hospital;
 - (13) A description of any criminal conviction of the health care provider for a felony within the last ten years. For the purposes of this subdivision, a health care provider shall be deemed to be convicted of a felony if the health care provider pleaded guilty or was found or adjudged guilty by a court of competent jurisdiction or has been convicted of a felony by the entry of a plea of nolo contendere;
- (14) To the extent available, and consistent with the provisions of subsection (c) of this section, all professional malpractice court judgments and all professional malpractice arbitration awards against the health care provider in which a payment was awarded to a complaining party during the last ten years, and all settlements of professional malpractice claims against the health care provider in which a payment was made to a complaining party within the last ten years;
- (15) An indication as to whether the health care provider is actively involved in patient care; and
- 257 (16) The name of the health care provider's professional liability 258 insurance carrier.
 - (c) Any report of a professional malpractice judgment or award against a health care provider made under subdivision (14) of subsection (b) of this section shall comply with the following: (1) Dispositions of paid claims shall be reported in a minimum of three graduated categories indicating the level of significance of the award or settlement; (2) information concerning paid professional malpractice

claims shall be placed in context by comparing an individual health care provider's professional malpractice judgments, awards and settlements to the experience of other health care providers licensed in Connecticut who perform procedures and treat patients with a similar degree of risk; (3) all judgment award and settlement information reported shall be limited to amounts actually paid by or on behalf of the health care provider; and (4) comparisons of professional malpractice payment data shall be accompanied by (A) an explanation of the fact that health care providers treating certain patients and performing certain procedures are more likely to be the subject of litigation than others and that the comparison given is for health care providers who perform procedures and treat patients with a similar degree of risk; (B) a statement that the report reflects data for the last ten years and the recipient should take into account the number of years the health care provider has been in practice when considering the data; (C) an explanation that an incident giving rise to a professional malpractice claim may have occurred years before any payment was made due to the time lawsuits take to move through the legal system; (D) an explanation of the effect of treating high-risk patients on a health care provider's professional malpractice history; and (E) an explanation that professional malpractice cases may be settled for reasons other than liability and that settlements are sometimes made by the insurer without the health care provider's consent. Information concerning all settlements shall be accompanied by the following statement: "Settlement of a claim may occur for a variety of reasons that do not necessarily reflect negatively on the professional competence or conduct of the health care provider. A payment in settlement of a professional malpractice action or claim should not be construed as creating a presumption that professional malpractice has occurred."

(d) Pending professional malpractice claims against a health care provider and actual amounts paid by or on behalf of a health care provider in connection with a professional malpractice judgment, award or settlement shall not be disclosed by the department to the

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public. This subsection shall not be construed to prevent the department from investigating and disciplining a health care provider on the basis of professional malpractice claims that are pending.

(e) Prior to the initial release of a health care provider's profile to the public, the department shall provide the health care provider with a copy of the health care provider's profile. Additionally, any amendments or modifications to the profile that were not supplied by the health care provider or not generated by the department itself shall be provided to the health care provider for review prior to release to the public. A health care provider shall have sixty days from the date the department mails or delivers the prepublication copy to dispute the accuracy of any information that the department proposes to include in such profile and to submit a written statement setting forth the basis for such dispute. If a health care provider does not notify the department that the health care provider disputes the accuracy of such information within such sixty-day period, the department shall make the profile available to the public and the health care provider shall be deemed to have approved the profile and all information contained in the profile. If a health care provider notifies the department that the health care provider disputes the accuracy of such information in accordance with this subsection, the health care provider's profile shall be released to the public without the disputed information, but with a statement to the effect that information in the identified category is currently the subject of a dispute and is therefore not currently available. Not later than thirty days after the department's receipt of notice of a dispute, the department shall review any information submitted by the health care provider in support of such dispute and determine whether to amend the information contained in the profile. In the event that the department determines not to amend the disputed information, the disputed information shall be included in the profile with a statement that such information is disputed by the health care provider.

(f) A health care provider may elect to have the health care

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- 332 provider's profile omit information provided pursuant to subdivisions
- 333 (9) to (11), inclusive, of subsection (b) of this section. In collecting
- information for such profiles and in the dissemination of such profiles,
- 335 the department shall inform health care providers that they may
- 336 choose not to provide the information described in said subdivisions
- 337 (9) to (11), inclusive.
- 338 (g) Each profile created pursuant to this section shall include the
- 339 following statement: "This profile contains information that may be
- 340 used as a starting point in evaluating a health care provider. This
- 341 profile should not, however, be your sole basis for selecting a health
- 342 care provider."
- (h) The department shall maintain a web site on the Internet for use
- by the public in obtaining profiles of health care providers.
- 345 (i) No state law that would otherwise prohibit, limit or penalize
- 346 disclosure of information about a health care provider shall apply to
- disclosure of information required by this section.
- 348 (j) All information provided by a health care provider pursuant to
- 349 this section shall be subject to the penalty for false statement under
- 350 section 53a-157b.
- 351 (k) Except for the information in subdivisions (1), (2), (10) and (11)
- of subsection (b) of this section, a health care provider shall notify the
- department of any changes to the information required in subsection
- 354 (b) of this section not later than sixty days after such change."
- Sec. 4. (NEW) (Effective October 1, 2009) No person shall engage in
- 356 advanced dental hygiene practice unless such person holds and
- 357 maintains a dental hygiene license in good standing and an advanced
- dental hygiene practice license issued by the Department of Public
- 359 Health. An advanced dental hygiene practice license issued under
- section 5 of this act shall be renewed annually in accordance with the
- provisions of section 19a-88 of the general statutes, as amended by this

362 act.

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- Sec. 5. (NEW) (Effective October 1, 2009) (a) Each application for an advanced dental hygiene practice license shall be in writing on forms prescribed by the Department of Public Health, signed by the applicant and accompanied by an application fee of two hundred dollars. An applicant shall have graduated from a master's degree program in advanced dental hygiene practice from an institution of higher learning accredited by the Board of Governors of Higher Education in accordance with the provisions of section 10a-34 of the general statutes. Any such master's degree program accredited by the Board of Governors of Higher Education shall include a curriculum that incorporates advanced dental hygiene practice competencies as adopted by the American Dental Hygienists' Association.
- (b) An applicant for an advanced dental hygiene practice license shall be examined as to his or her professional knowledge and skill prior to the granting of such license, through a comprehensive, competency-based examination, prescribed by the Department of Public Health and administered independently of any institution of higher education that offers a master's degree program in advanced dental hygiene practice.
- 382 Sec. 6. (NEW) (Effective October 1, 2009) (a) As used in this section:
- 383 (1) "Advanced dental hygiene practitioner" means a licensed dental hygienist who satisfies the requirements of sections 4 and 5 of this act and is authorized to perform all services set forth in section 20-126l of the general statutes, as amended by this act, pursuant to a 387 collaborative management agreement;
 - (2) "Collaborative management agreement" means a written agreement between an advanced dental hygiene practitioner and a dentist, licensed in accordance with the provisions of chapter 379 of the general statutes, that outlines a mutually agreed upon relationship in which the advanced dental hygiene practitioner and the dentist

- agree to the parameters of practice provided by such advanced dental hygiene practitioner; and
- 395 (3) "Public health facility" means an institution, as defined in section 396 19a-490 of the general statutes, a community health center, group 397 home, school, preschool operated by a local or regional board of 398 education or head start program.
- (b) Pursuant to a collaborative management agreement with a licensed dentist, an advanced dental hygiene practitioner may:
 - (1) Formulate an individualized care plan based on scientific rationale, evidence-based standards of care, and practice guidelines in collaboration with the patient and multidisciplinary health care team;
- 404 (2) Administer local anesthesia;

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- 405 (3) Diagnose and treat for oral diseases and conditions within the advanced dental hygiene practitioner scope of practice;
- 407 Provide diagnostic, educational, palliative, therapeutic, 408 prescriptive and minimally invasive restorative oral health services 409 including: (A) Preparation and restoration of primary and permanent 410 teeth using direct placement of appropriate dental materials; (B) 411 temporary placement of crowns and restorations; (C) placement of 412 preformed crowns; (D) pulpotomies on primary teeth; (E) direct and 413 indirect pulp capping in primary and permanent teeth; and (F) 414 placement of atraumatic temporary restorations;
- (5) Prescribe, dispense and administer the following drugs within the parameters of the collaborative management agreement and within the scope of practice of the advanced dental hygiene practitioner: Analgesics, anti-inflammatories and antibiotics;
- 419 (6) Perform nonsurgical extractions on mobile, exfoliating, primary 420 and permanent teeth;

| 421 | (7) |) Place | and | remove | sutures; |
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- 422 (8) Prevent or intercept potential orthodontic problems and 423 parafunctional habits by early identification of such problems, space 424 maintenance services and appropriate referral to other health care 425 professionals;
- 426 (9) Provide temporary reparative services to patients with defective 427 prosthetic appliances;
- 428 (10) Consult, collaborate and coordinate care with other health care 429 professionals;
- 430 (11) Provide referrals to patients as needed for further dental 431 procedures or other health care needs;
- 432 (12) Utilize emerging technologies in assessment, evaluation, 433 diagnosis, prognosis, intervention and prevention of disease or 434 conditions that impair oral or systemic health and wellness; and
 - (13) Use electronic technology to transfer digital radiography, photography, clinical assessment data and fiber optic imaging in collaboration with other health care professionals when warranted for the health of the patient.
- 439 (c) An advanced dental hygiene practitioner, licensed in accordance 440 with the provisions of sections 4 and 5 of this act, shall practice 441 pursuant to a collaborative management agreement only in a public 442 health facility or a dental health professional workforce shortage area 443 as designated by the federal Health Resources and Services 444 Administration.
- (d) A collaborative management agreement entered into in 445 446 accordance with the provisions of this section shall be in writing, 447 signed by the parties to the agreement and maintained by the 448 advanced dental hygiene practitioner at the location where such 449 practitioner is employed and shall be available for inspection upon the

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request of the Department of Public Health. A collaborative management agreement shall be reviewed by the parties involved on an annual basis and shall minimally include: (1) A description of the supervisory relationship between the advanced dental hygiene practitioner and the licensed dentist; (2) specific protocols for prescribing, administering and dispensing medications, including, the types of medications to be prescribed, administered and dispensed and the conditions and circumstances under which such medications are to be prescribed, dispensed and administered; and (3) an emergency protocol that addresses situations under which the following shall occur: (A) Consultation with a licensed dentist or other health care provider; (B) transfer of patient care to a licensed dentist or other licensed health care provider; (C) the provision of emergency care; (D) methods for disclosing the relationship covered by such agreement to the patient; and (E) methods for reviewing patient outcomes.

- (e) All licensed advanced dental hygiene practitioners shall participate in continuing education programs.
- Sec. 7. (NEW) (Effective October 1, 2009) An advanced dental hygiene practitioner's license along with such practitioner's dental hygiene license for the current year shall be displayed conspicuously in the public health facility, office, place of business or place of employment of such practitioner. Each licensed advanced dental hygiene practitioner shall promptly notify the department of any change of address or employment subsequent to his or her licensure.
- Sec. 8. (NEW) (Effective October 1, 2009) (a) The Department of Public Health may take any of the actions set forth in section 19a-17 of the general statutes for any of the following causes: (1) The presentation to the department of any diploma or license illegally or fraudulently obtained, obtained from an institution that is not accredited or from an unrecognized or irregular institution or state board, or obtained by the practice of any fraud or deception; (2) illegal conduct; (3) negligent, incompetent or wrongful conduct in professional activities; (4)

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conviction of the violation of any of the provisions of sections 20-126h to 20-126w, inclusive, of the general statutes, as amended by this act, by any court of criminal jurisdiction; (5) the violation of any of the provisions of said sections or of the regulations adopted hereunder or the refusal to comply with any of said provisions or regulations; (6) the aiding or abetting in the practice of advanced dental hygiene of a person not licensed to practice in this state; (7) engaging in fraud or material deception in the course of professional activities; (8) the effects of physical or mental illness, emotional disorder or loss of motor skill, including, but not limited to, deterioration through the aging process, upon the license holder; (9) abuse or excessive use of drugs, including alcohol, narcotics or chemicals; (10) failure to comply with mandatory continuing education requirements; (11) failure to maintain professional liability insurance; (12) practicing without a collaborative management agreement; (13) failure to properly supervise dental assistants; or (14) failure to provide information to the Department of Public Health required to complete a health care profile, as set forth in section 20-13j of the general statutes, as amended by this act. A violation of any of the provisions of sections 4 to 14, inclusive, of this act, by any unlicensed advanced dental hygiene practitioner, with the knowledge of such practitioner's employer, shall be deemed a violation thereof by such employer. The Commissioner of Public Health may order a license holder to submit to a reasonable physical or mental examination if his or her physical or mental capacity to practice safely is the subject of an investigation. Said commissioner may petition the superior court for the judicial district of Hartford to enforce such order or any action taken pursuant to said section 19a-17.

(b) For purposes of subdivision (7) of subsection (a) of this section, fraud or material deception shall include, but not be limited to, the following practices: (1) Submission of a claim form to a third party intentionally reporting incorrect treatment dates for the purpose of assisting a patient in obtaining benefits under a dental plan, which benefits would otherwise be disallowed; (2) increasing a fee to a

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patient for a service in excess of the fee charged solely because the patient has dental insurance; (3) intentionally describing a procedure incorrectly on a third-party claim form in order to receive a greater payment or reimbursement or intentionally misrepresenting a procedure not otherwise eligible for payment or reimbursement on such claim form for the purpose of receiving payment or reimbursement; and (4) intentionally accepting payment from a third party as payment in full for patient services rendered when (A) the patient has been excused from payment of any applicable deductible by the license holder, and (B) such license holder fails to notify the third party of such action.

Sec. 9. (NEW) (*Effective October 1, 2009*) No person shall falsely claim to hold a license, diploma or degree granted by a society, school or by the Department of Public Health, or, with intent to deceive the public, pretend to be a graduate of any advanced dental hygiene practice program or college, or append the letters "A.D.H.P." to his or her name, without having the degree indicated by such letters conferred upon him by diploma from a college, a school, a board of examiners, or other agency empowered to confer the same.

Sec. 10. (NEW) (*Effective October 1, 2009*) Payment for advanced dental hygiene practice care rendered to patients in chronic and convalescent hospitals or convalescent homes shall be made directly to the licensed advanced dental hygiene practitioner rendering such care. The Commissioner of Social Services shall not be required to recognize the cost of employing or contracting with an advanced dental hygiene practitioner in the rates established for convalescent homes pursuant to section 17b-340 of the general statutes.

Sec. 11. (NEW) (*Effective October 1, 2009*) Any person who violates any provision of sections 4 to 14, inclusive, of this act shall be fined not more than five thousand dollars in aggregate. Any person who continues to practice as an advanced dental hygiene practitioner or engage as an advanced dental hygiene practitioner, after his or her

license or authority to so do has been suspended or revoked and while such disability continues, shall be fined not more than five thousand dollars in aggregate. For purposes of this section, each instance of patient contact or consultation which is in violation of any provision of this section shall constitute a separate offense. Failure to renew a license in a timely manner shall not constitute a violation for the purposes of this section.

Sec. 12. (NEW) (*Effective October 1, 2009*) Nothing in sections 4 to 14, inclusive, of this act shall be construed to: (1) Allow a licensed advanced dental hygiene practitioner to practice beyond the parameters of the collaborative management agreement with the collaborating licensed dentist; or (2) prevent a licensed dentist from providing advanced dental hygiene practice services.

Sec. 13. (NEW) (Effective October 1, 2009) (a) Each licensed advanced dental hygiene practitioner who provides direct patient care services shall maintain professional liability insurance or other indemnity against liability for professional malpractice. The amount of insurance that each such person shall carry as insurance or indemnity against claims for injury or death for professional malpractice shall not be less than five hundred thousand dollars for one person, per occurrence, with an aggregate of not less than one million five hundred thousand dollars.

(b) Each insurance company that issues professional liability insurance, as defined in subdivisions (1), (6), (7), (8) and (9) of subsection (b) of section 38a-393 of the general statutes, shall, on and after January 1, 2010, render to the Commissioner of Public Health a true record of the names, according to classification, of cancellations of and refusals to renew professional liability insurance policies and the reasons for such cancellations or refusal to renew said policies for the year ending on the thirty-first day of December next preceding.

Sec. 14. (NEW) (Effective October 1, 2009) The Commissioner of Public Health shall adopt regulations, in accordance with chapter 54 of

- 580 the general statutes, to: (1) Define basic requirements for continuing
- education programs; (2) delineate qualifying programs; (3) establish a
- 582 system of control and reporting; (4) provide for waiver of the
- 583 continuing education requirement by the commissioner for good
- cause; and (5) implement the provisions of sections 4 to 14, inclusive,
- of this act.
- Sec. 15. Subsection (c) of section 19a-14 of the general statutes is
- 587 repealed and the following is substituted in lieu thereof (Effective
- 588 *October 1, 2009*):
- 589 (c) No board shall exist for the following professions that are
- 590 licensed or otherwise regulated by the Department of Public Health:
- 591 (1) Speech and language pathologist and audiologist;
- 592 (2) Hearing instrument specialist;
- 593 (3) Nursing home administrator;
- 594 (4) Sanitarian;
- 595 (5) Subsurface sewage system installer or cleaner;
- 596 (6) Marital and family therapist;
- 597 (7) Nurse-midwife;
- 598 (8) Licensed clinical social worker;
- 599 (9) Respiratory care practitioner;
- (10) Asbestos contractor and asbestos consultant;
- 601 (11) Massage therapist;
- 602 (12) Registered nurse's aide;
- 603 (13) Radiographer;

604 (14) Dental hygienist; 605 (15) Dietitian-Nutritionist; 606 (16) Asbestos abatement worker; 607 (17) Asbestos abatement site supervisor; 608 (18) Licensed or certified alcohol and drug counselor; 609 (19) Professional counselor; 610 (20) Acupuncturist; 611 (21) Occupational therapist and occupational therapist assistant; 612 (22) Lead abatement contractor, lead consultant contractor, lead 613 consultant, lead abatement supervisor, lead abatement worker, 614 inspector and planner-project designer; 615 (23) Emergency medical technician, emergency medical technician-616 intermediate, medical response technician and emergency medical 617 services instructor; 618 (24) Paramedic; 619 (25) Athletic trainer; [and] 620 (26) Perfusionist; and 621 (27) Advanced dental hygiene practitioner. 622 The department shall assume all powers and duties normally vested 623 with a board in administering regulatory jurisdiction over such 624 professions. The uniform provisions of [this chapter] sections 4 to 14, 625 inclusive, of this act and chapters 368v, 369 to 381a, inclusive, 383 to 626 388, inclusive, 393a, 395, 398, 399, 400a and 400c, including, but not 627 limited to, standards for entry and renewal; grounds for professional 628 discipline; receiving and processing complaints; and disciplinary

- sanctions, shall apply, except as otherwise provided by law, to the professions listed in this subsection.
- Sec. 16. Subsection (a) of section 19a-88 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective* October 1, 2009):
- 634 (a) Each person holding a license to practice dentistry, optometry, 635 midwifery, [or] dental hygiene or advanced dental hygiene practice 636 shall, annually, during the month of such person's birth, register with 637 the Department of Public Health, upon payment of the professional 638 services fee for class I, as defined in section 33-182l in the case of a 639 dentist, except as provided in sections 19a-88b and 20-113b, the 640 professional services fee for class H, as defined in section 33-182l in the 641 case of an optometrist, five dollars in the case of a midwife, and fifty 642 dollars in the case of a dental hygienist, on blanks to be furnished by 643 the department for such purpose, giving such person's name in full, 644 such person's residence and business address and such other 645 information as the department requests. Each person holding a license 646 to practice dentistry who has retired from the profession may renew 647 such license, but the fee shall be ten per cent of the professional 648 services fee for class I, as defined in section 33-182l. Any license 649 provided by the department at a reduced fee pursuant to this subsection shall indicate that the dentist is retired. 650
- Sec. 17. Subsection (a) of section 19a-12a of the general statutes is repealed and the following is substituted in lieu thereof (*Effective October 1, 2009*):
- (a) As used in this section and section 19a-12b:
- (1) "Chemical dependency" means abusive or excessive use of drugs, including alcohol, narcotics or chemicals, that results in physical or psychological dependence;
- (2) "Department" means the Department of Public Health;

- (3) "Health care professionals" includes any person licensed or who 659 holds a permit pursuant to chapter 370, 372, 373, 375, 375a, 376, 376a, 660 661 376b, 376c, 377, 378, 379, 379a, 380, 381, 381a, 383, 383a, 383b, 383c, 384, 662 384a, 384b, 384c, 384d, 385, 398 or 399 or sections 4 to 14, inclusive, of 663 this act;
 - (4) "Medical review committee" means any committee that reviews and monitors participation by health care professionals in the assistance program, including a medical review committee described in section 19a-17b; and
 - (5) "Assistance program" means the program established pursuant to subsection (b) of this section to provide education, prevention, intervention, referral assistance, rehabilitation or support services to health care professionals who have a chemical dependency, emotional or behavioral disorder or physical or mental illness.

| This act sha | all take effect as follows | and shall amend the following | | | | |
|--------------|----------------------------|-------------------------------|--|--|--|--|
| sections: | | | | | | |
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| Section 1 | October 1, 2009 | 20-126 <i>l</i> | | | | |
| Sec. 2 | October 1, 2009 | 20-112a | | | | |
| Sec. 3 | January 1, 2010 | 20-13j | | | | |
| Sec. 4 | October 1, 2009 | New section | | | | |
| Sec. 5 | October 1, 2009 | New section | | | | |
| Sec. 6 | October 1, 2009 | New section | | | | |
| Sec. 7 | October 1, 2009 | New section | | | | |
| Sec. 8 | October 1, 2009 | New section | | | | |
| Sec. 9 | October 1, 2009 | New section | | | | |
| Sec. 10 | October 1, 2009 | New section | | | | |
| Sec. 11 | October 1, 2009 | New section | | | | |
| Sec. 12 | October 1, 2009 | New section | | | | |
| Sec. 13 | October 1, 2009 | New section | | | | |
| Sec. 14 | October 1, 2009 | New section | | | | |
| Sec. 15 | October 1, 2009 | 19a-14(c) | | | | |
| Sec. 16 | October 1, 2009 | 19a-88(a) | | | | |
| Sec. 17 | October 1, 2009 | 19a-12a(a) | | | | |

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Statement of Purpose:

To increase access to dental care for underserved populations through use of advanced dental hygiene practitioners.

[Proposed deletions are enclosed in brackets. Proposed additions are indicated by underline, except that when the entire text of a bill or resolution or a section of a bill or resolution is new, it is not underlined.]

Co-Sponsors: REP. NARDELLO, 89th Dist.; REP. HORNISH, 62nd Dist.

H.B. 5630